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Examiner Signature		Date Considered	
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3) <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/009,455
				Filing Date	01/20/1998
				First Named Inventor	Mills
				Group Art Unit	1745
				Examiner Name	Kalafut
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. 1	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
		WO	94/29873	A2	Mills	12-22-1994		
		WO	99/05735	A1	Mills	03-04-1999		
		WO	99/26078	A1	Mills	5-27-1999		
		WO	99/34322	A1	Mills	7-8-1999		
		WO	99/35698	A3	Mills	07-15-1999		
		WO	00/07931	A1	Mills	02-17-2000		
		WO	00/07932	A2	Mills	2-17-2000		
		WO	00/25320	A1	Davies	05-04-2000		
		WO	01/095944	A2	Mills	12-20-2001		
		WO	01/18948	A1	Mills	3-15-2001		
		WO	01/21300	A2	Mills	03-29-2001		
		WO	01/22472	A2	Mills	3-29-2001		
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		WO	02/087291	A2	Mills	10-31-2002		
		WO	02/088020	A2	Mills	11-7-2002		
		WO	02/16956	A1	Mills	2-28-2002		
		WO	03/093173	A2	Mills	11-13-2003		
		WO	03/066516	A2	Mills	8-14-2003		
		WO	04/092058	A2	Mills	10-28-2004		
		WO	05/041368	A2	Mills	10-6-2005		
		WO	05/067678	A2	Mills	7-28-2005		
		WO	2005/116630	A1	Mills	12-08-2005		
		WO	2007/051078	A1	Mills	05-03-2007		
		WO	2007/053486	A1	Mills	05-10-2007		
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		"Blacklight Power - do they have something significant?" (internet page) www.phact.org/e/blp.htm (author and date unknown)	
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		Blochinzew, <i>Grundlagen Der Quantenmechanik</i> , Veb Deutscher Verlag Der Wissenschaften, 1967	
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		Dagani "Cold Fusion-Utah Pressures Pons, Fleischmann." <i>C&amp;EN</i> , January 14, 1991, pp. 4-5.	
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		Gulyaev "Gigantic Atoms in Space" (internet page) <a href="http://www.astronomy.org.nz/events/month...reviews/2001/gigantic_atoms_in_space.htm">www.astronomy.org.nz/events/month...reviews/2001/gigantic_atoms_in_space.htm</a> (date unknown)	
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		116. K. Akhtar, J. Scharer, R. L. Mills, "Substantial Doppler Broadening of Atomic Hydrogen Lines in DC and Capacitively Coupled RF Plasmas," IEEE Transactions on Plasma Science, submitted. (Internet Publication Date: June 6, 2006.)	
		115. R.L. Mills, H. Zea, J. He, B. Dhandapani, "Water Bath Calorimetry on a Catalytic Reaction of Atomic Hydrogen," International Journal of Hydrogen Energy, in press. (Internet Publication Date: May 12, 2006)	
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Examiner Signature			Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>		<b>Complete if Known</b>			
		Application Number	09/009,455		
		Filing Date	01/20/1998		
		First Named Inventor	Mills		
		Group Art Unit	1745		
		Examiner Name	Kalafut		
Sheet	32		36	Attorney Docket Number	9113-20US

OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS			
Examine r Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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		The Harvard Crimson News: Academics Question the Science Behind Blacklight Power, Inc." < <a href="http://www.the.crimson.com/printerfriendly.aspx?ref=100939">http://www.the.crimson.com/printerfriendly.aspx?ref=100939</a> >	
Examiner Signature			Date Considered

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				Group Art Unit	1745
				Examiner Name	Kalafut
Sheet	33		26	Attorney Docket Number	9113-20US

OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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Examiner Signature			Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		NIST's Physical Reference Datasheet, "Energy Levels of Hydrogen and Deuterium," <URL <a href="http://physics.nist.gov/PhysRefData/HDEL/index.html">http://physics.nist.gov/PhysRefData/HDEL/index.html</a> >	
		Welcome to MIT's OpenCourseWare Home Page. MIT 2005. <a href="http://ocw.mit.edu/index.html">http://ocw.mit.edu/index.html</a>	
Examiner Signature			Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner Signature		Date Considered	
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3) <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

[illegible]

Examiner Signature		Date Considered	
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3) <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the

## ATTACHMENT A

		Date(s)	Compensation Arrangements	Cash Payments Made	Options Issued	Shares Owned
AKHTAR (Employee)	Dr. Kamran	-	Employee Salary	-	EE Options Only	None
BALDWIN (NASA)	Dr. R.	-	-	-	None	None
BONIFACE (Chalk River Labs)	Dr. H.A.	-	-	-	None	None
BRADFORD (PSU Student)	Dr. Michael	9/13/1994	Ad Hoc/ One-time Only	9/13/1994	None	None
CHANG (Employee)	Dr. Zhixiang	-	Employee Salary	-	EE Options Only	None
CHEN (EE & Consultant)	Dr. Chun-Ku	6/1/04-5/31/05	\$50/hour	2/7/2005	EE Options Only	None
CHEN (Employee)	Dr. Xuemin	-	Employee Salary	-	EE Options Only	None
CLARK (INP Greifswald)	Dr. H.R.	-	-	-	None	None
CONRADs (INP Greifswald transferred agmmt to Conrad)	Dr. Johannes	8/4/99-9/23/05	2 wire transfers	2/14/00 6/12/01	Options granted from 9/9/96 to 8/5/97; some options expired; some options exercised 9/7/06	Some options exercised 9/7/06
CRAIG	Dr. W.Y.	-	-	-	None	None
CRAW-IVANCO (Chalk River Labs)	Dr. M.T.	-	-	-	None	None
DAYALAN (Employee)	Dr. Ethirajulu	-	Employee Salary	-	EE Options Only	None
DHANDAPANI (Employee)	Dr. Bala	-	Employee Salary	-	EE Options Only	None
DONG (EE & Consultant)	Dr. Jinquan	7/30/03-1/31/04 2/1/04-7/31/04 11/16/04-5/1/505	\$35/hour \$45/hour \$45hour	2/28/02 8/20/03	EE Options Only	None
ECHEZURIA (Employee)	Alejandra	-	Employee Salary	-	EE Options Only	None
FRALICK (Semitech)	Dr. G.C.	-	-	-	None	None
FUELLING (U Nevada)	Dr. Stephan	-	-	-	None	None
GAMBERALE	Luca	-	-	-	None	None
GERNERT (Thermacore)	Dr. N.J.	-	-	-	None	None
GOOD (EE & Officer)	William	-	Employee Salary	-	EE & Officer Options Issued Beginning 9/1/92	Shares Owned Beginning 1991

GREENIG (EE & Consultant)	Nelson	11/1/01-10/31/02 11/1/02-10/31/03 3/8/04-3/7/05 3/8/05-3/7/06 3/8/06-3/7/07 3/8/07-3/7/08	\$50/hour \$50/hour \$50/hour \$50/hour \$50/hour	7/19/95 4/27/01 10/14/02	EE Options Only	None
HALDEMAN (MIT Lincoln Labs)	Dr. C.W.	-	-	-	None	None
HE (Employee)	Dr. Jiliang	-	Employee Salary	-	EE Options Only	None
HICKS (Employee)	Steven	-	Employee Salary	-	EE Options Only	None
HILBORN (Chalk River Labs)	Dr. J.W.	-	-	-	None	None
ISELER (MIT Lincoln Labs)	Dr. G.W.	-	-	-	None	None
JACOX (INEL)	Dr. Michael	-	-	-	Options granted 9/9/96 to 3/3/97; all options expired	None
JANSSON (Rowan U)	Dr. Peter M.	6/10/02-10/31/02 (contract)	\$100 \$10	10/17/97 12/31/2002	None	Purchased shares 7/2/97; gifted to ex-wife and son 5/1/07
KNEIZYS	S.	-	-	-	None	None
KRYUKOV (Moscow Power Engineering Institute)	Dr. A.P.	-	-	-	None	None
KURTZ (PSU)	Dr. Stewart	-	-	-	Options granted 9/9/96 to 11/8/02; some expired	None
LEE (U of Ohio)	Dr. Jang-Jung	-	-	-	None	None
LU (EE & Consultant)	Dr. Ying	8/6/01 5/9/03-5/16/03 5/27/03-5/30/03 5/30/03-6/30/03 7/1/03-7/31/03 8/1/03-1/30/04	\$100/hour \$80/hour \$80/hour \$45/hour \$45/hour \$45/hour	10/01/01 5/23/03	EE Options Only	None
MARCHESE (Rowan U)	Dr. Anthony J.	6/10/02-10/31/02 (contract)	\$10 non-material	12/31/2002	None	None
MAYO (EE & Consultant)	Dr. Robert	6/8/01-8/8/01	\$50/hour	7/23/2001	EE Options Only	None
MEYERS (NASA)	Dr. I.	-	-	-	None	None
MILLER (Lehigh U)	Dr. Alfred	-	\$250	6/12/1997	Options granted 9/9/96 to 3/3/97; all options expired	None

MILLS (EE & Director)	Dr. Randell	-	Employee Salary	-	EE & Director Options Issued Beginning 9/9/96	Shares Owned Beginning 1991
NANSTEEL (EE & Consultant)	Dr. Mark W.	3/30/05-3/29/06 3/30/06-3/29/07 3/30/07-8/31/08	\$80/hour \$80/hour \$80/hour	8/24/2005	EE Options Only	None
NESTEROV (Moscow Power Engineering Institute)	Dr. S.B.	-	-	-	None	None
NIEDRA (NASA)	Dr. J.	-	-	-	None	None
NONINSKI (Brookhaven)	Dr. V.	-	-	-	None	None
ONUMA (Employee)	Dr. Takeyoshi	-	Employee Salary	-	EE Options Only	None
PACIFICORP		-	-	-	Options granted 4/7/97 to 4/27/98; some options expired	Purchased shares 9/20/96 & 7/2/97; transferred all shares to ScottishPower Ventures, Inc. 6/21/07
PETERSON (Westinghouse)	Dr. S.H.	-	-	-	None	None
PHILLIPS (PSU, UNM, LANL)	Dr. Jonathan	2/1/96-1/31/97 1/1/97-4/30/97 3/15/00-3/18/00 10/8/01-4/8/02 4/8/02-10/8/02 10/9/02-10/8/03 10/9/03-10/8/04 10/9/04-10/8/05 10/9/05-10/8/06 10/9/06-10/8/07	\$100/hour Options Only \$5,000 \$125/hour \$125/hour \$125/hour \$100/hour \$100/hour \$100/hour \$100/hour	4/26/95 5/9/00	Options granted 9/9/96-1/14/06; some options exercised	Some options exercised 9/1/06 & 2/20/07
RAY (Employee)	Dr. Paresh	-	Employee Salary	-	EE Options Only	None
SANKAR (EE & Consultant)	Dr. Jayasree	3/17/03-3/16/04 3/22/04-3/21/05	\$50/hour \$50/hour	-	EE Options Only	None
SAVOYE (MIT Lincoln Labs)	Dr. G.W.	-	-	-	None	None
SCHARER (U Wisconsin)	Dr. John	1/5/05-1/4/06 1/5/06-1/4/07 1/5/07-1/4/08	\$800/day \$100/hour \$110/hour	11/14/2005	None	None
SCHMALZEL (Rowan U)	Dr. John L.	6/10/02-10/31/02 (contract)	\$10 non-material	12/31/2002	None	None
SHANNON (Employee)	Tina	-	Employee Salary	-	EE Options Only	None
SHAUBACH (Thermacore)	Dr. R.M.	-	-	-	None	None
SIMMONS (Lehigh U)	Dr. G.	-	-	-	None	None
TREMBLAY (Chalk River Labs)	Dr. R.P.	-	-	-	None	None

TURNER (Spectral Data)	Dr. Gary L.	-	-	-	None	None
VOIGT (EE & Consultant)	Dr. Andreas	8/27/04-8/26/05	\$50/hour	-	EE Options Only	None
WATTS (INEL)	Dr. K.D.	-	-	-	None	None
WEISMANN	Dr. H.	-	-	-	None	None
WIESMANN	Dr. H.	-	-	-	-	-
WRUBEL (INP Greifswald)	Dr. Th.	-	-	-	None	None
ZEA (Employee)	Dr. Hugo	-	Employee Salary	-	EE Options Only	None



## ATTACHMENT B

### MASTER LIST OF CITED AND SUBMITTED DOCUMENTS

U.S. Patent Document		Name of Patentee of Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
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